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OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New tive Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Pursuant to Rule 105 465 Under the Securities Product Pursuant to Rule 105 465 Under the Securities Pursuant To Rule 105 Under the Securi Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdag Stock Market LLC (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation-etc.) **Open End Management Investment Company**
- Class of New Derivative Securities Product:
- **Exchange Traded Fund** 4. Name of Underlying Instrument:
- **FTSE Switzerland Capped Index**

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based
- 6. Ticker Symbol(s) of New Derivative Securities Product:
- FLSW
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: **Listed on: SWS Swiss**
- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

LQ Mgr

Telephone Number:

+1 301 9788088

Manyal/Signature of Official Responsible for Form: uom

Date: March 11, 2018

Securities Exchange Act of 1934

19h-4 Section Rule 19b-4(e)

Public Availability:

Act

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